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**Abstract.** A clear correlation has been observed between the resonance Raman (RR) spectra of plaques in the aortic tunica intimal wall of a human corpse and three states of plaque evolution: fibrolipid plaques, calcified and ossified plaques, and vulnerable atherosclerotic plaques (VPs). These three states of atherosclerotic plaque lesions demonstrated unique RR molecular fingerprints from key molecules, rendering their spectra unique with respect to one another. The vibrational modes of lipids, cholesterol, carotenoids, tryptophan and heme proteins, the amide I, II, III bands, and methyl/methylene groups from the intrinsic atherosclerotic VPs in tissues were studied. The salient outcome of the investigation was demonstrating the correlation between RR measurements of VPs and the thickness measurements of fibrous caps on VPs using standard histopathology methods, an important metric in evaluating the stability of a VP. The RR results show that VPs undergo a structural change when their caps thin to 66  $\mu\text{m}$ , very close to the 65- $\mu\text{m}$  empirical medical definition of a thin cap fibroatheroma plaque, the most unstable type of VP. © The Authors. Published by SPIE under a Creative Commons Attribution 3.0 Unported License. Distribution or reproduction of this work in whole or in part requires full attribution of the original publication, including its DOI. [DOI: [10.1117/1.JBO.21.12.127006](https://doi.org/10.1117/1.JBO.21.12.127006)]

Keywords: human artery/aorta; heme protein; methyl/methylene group; molecular fingerprints of amide II and carotenoids; visible resonance Raman spectroscopy using 532-nm excitation; vulnerable atherosclerotic plaque.

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## 1 Introduction

Cardiovascular disease is a major cause of morbidity in developed Western countries. Vulnerable atherosclerotic plaque (VP) is an important topic in cardiology research, since patients with these unstable plaques are at great risk for a sudden heart attack (i.e., myocardial infarction) when a plaque suddenly ruptures. Rupture of the thin fibrous cap when its thickness is  $<65 \mu\text{m}$ , and the resulting subsequent thrombotic occlusion, is the most common cause of death from vulnerable plaque. Finding criteria to identify and measure the degree of risk from these lesions is an active area of investigation.<sup>1-6</sup> Studies have also shown that a high degree of vulnerable plaque atherosclerosis is a significant indicator of coronary artery disease.<sup>7-9</sup> Clinically, there are several diagnostic tools, e.g., x-ray angiography, angiography, nuclear scintigraphy, and magnetic resonance imaging that are used for identifying the luminal diameter of the aorta and arterial stenosis. However, there are only a few studies that have been performed using Raman spectroscopy and the vibrational frequencies of lipids for detecting atherosclerotic diseases and the thicknesses of cap layers on VPs.<sup>10-16</sup> None of these studies used resonance Raman (RR).

Optical biopsy techniques, such as label-free native fluorescence, Raman spectroscopy, and optical imaging for *in vivo*

cancer detection in human tissues and cells, have been advanced significantly since 1984 by Alfano's group.<sup>17-19</sup>

In our previous studies using 532-nm excitation for RR on human brain, breast, gynecological, gastrointestinal, and atherosclerotic abdominal aortic tissues studies,<sup>16,20-24</sup> the RR spectra *in vitro* exhibited native molecular signatures that could be used as optical histopathological criteria to distinguish normal from abnormal tissues. The 532-nm wavelength is a newly important finding for tissue to generate extraordinarily large Raman signals that are useful for quasireal-time measurements. Statistical methods, such as principal component analysis and support vector machine, were used to analyze the RR spectral data collected from benign tumors, cancerous tumors, and normal breast tissues, as well as from meningiomas, benign tumors, and normal meningeal brain tissues. These methods yielded a diagnostic sensitivity of 90.9% and a specificity of 100%.<sup>20-21</sup>

Reports detailing the use of the RR technique for studying cardiovascular disease are very limited. One early report on RR scattering spectra in human breast and lung tissues was published by Alfano et al.<sup>25</sup> for the first time. RR scattering spectra for cardiovascular tissue were first reported by Clarke et al.<sup>10</sup> In this work, the authors observed strong RR features from the calcific deposits within the coronary artery and in the aortic valve. They showed a typical Raman spectrum from a fatty plaque within the human coronary artery obtained with a 514.5-nm laser excitation. Three strong peaks dominated the RR spectra at 1006, 1156, and 1517  $\text{cm}^{-1}$ .<sup>11</sup> The results of other studies on

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the near-infrared (NIR) and Fourier-transform (NIR-FT) Raman spectroscopy by Rava et al.<sup>12</sup> and by Feld's group<sup>13,14,26,27</sup> have been used to identify and evaluate human atherosclerotic and vulnerable plaque lesions. These studies described a morphological model for the simultaneous changes in biochemical components, which provided information on different stages of arterial lesions. However, those results were only in the lower frequency spectral region of 750 to 1800  $\text{cm}^{-1}$ .<sup>12-15,26,27</sup>

This report focuses on the visible RR (VRR) technique for directly distinguishing and classifying vulnerable plaques with various states of atherosclerosis development and different cap thicknesses in human aorta tissues using RR spectral molecular fingerprints in a wide frequency region.

## 2 Methods and Materials

### 2.1 Methods

All RR spectra were collected directly from a region of interest along multiple sites on each specimen. The original RR spectrographs, without subtracting for the baseline of light, were produced using WITec Project 2.10 and ORIGIN 2015 software. The Raman spectra were collected from the four specimens shown in Fig. 1, referred to as FAT, M1, M2, and R1. The numbers superimposed on the specimens indicate the sites where spectra were collected and the fibrous cap thicknesses were examined by standard histopathology (Figs. 1 and 2). The histopathology analysis indicated that arteries had extensive calcification and ossification. Thus measurements were made from the edge of the fibrous cap to the beginning of the calcification. Each sample was placed on the stage of the WITec alpha 300R microconfocal Raman and imaging system as has been described in detail previously.<sup>16</sup> The final spectral resolution was 2  $\text{cm}^{-1}$  in the range of 400 to 3800  $\text{cm}^{-1}$ .

### 2.2 Human Tissue Sample

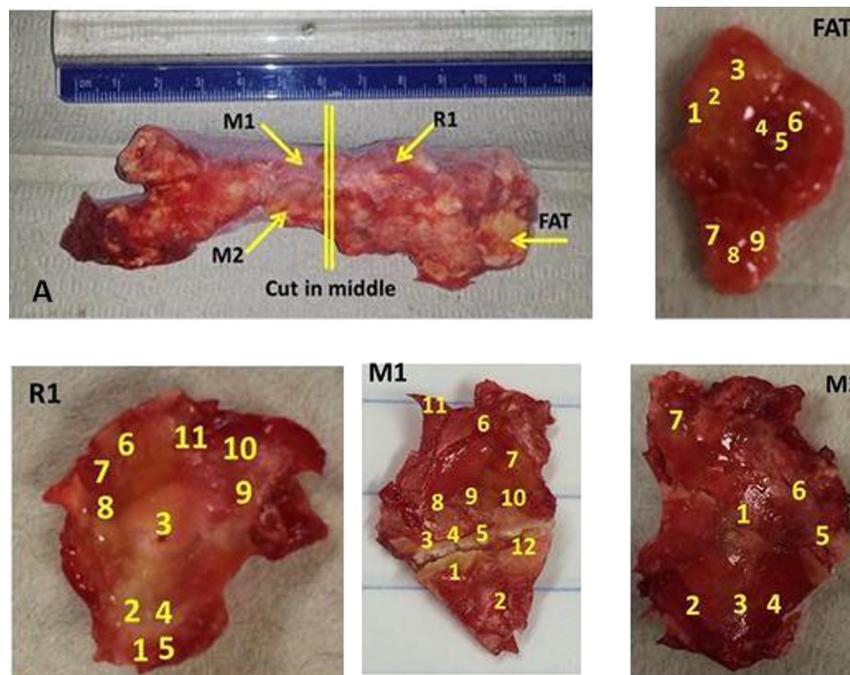
RR spectra of human aorta samples with different states of tissues, including normal fat from the adventitial side arterial wall and three pieces of atherosclerotic plaques lesions, were recorded using a confocal micro-Raman spectrometer. Thirty nine RR spectra were collected from four aortic samples and analyzed. In the RR spectra, a small NIR wing was observed at higher frequencies.

The human aorta specimens exhibiting varying calcific deposits of atherosclerotic vulnerable plaques disease were obtained from the National Disease Research Interchange (NDRI, Philadelphia, Pennsylvania). Some of the tissues exhibited extensive calcification and, in some cases, showed ossification.

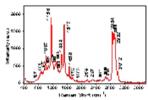
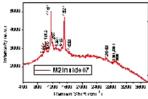
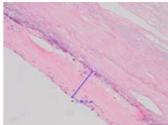
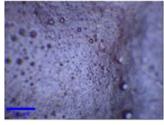
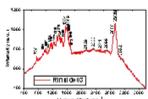
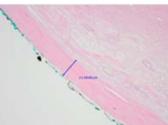
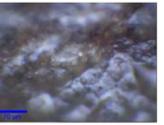
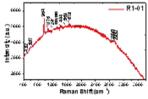
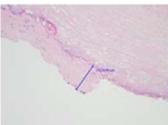
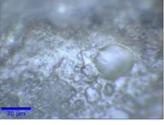
The experimental procedures were approved by the City College of the City University of New York, Institutional Review Board (IRB) office. The 12-cm long aorta specimen (Fig. 1, "A") was dissected longitudinally and then cut into four irregularly shaped ~3-cm size pieces as shown in Fig. 1 and marked FAT, M1, M2, and R1. The aorta specimen was obtained from a 92-year-old female with hypercholesterolemia who died from respiratory arrest. The patient had a history of hypertension but no history of diabetes. In general, we used about 200 samples of atherosclerotic abdominal aortic tissues and cells. The samples size ranged from microns to centimeters.

### 2.3 Pure, High-Density Lipoprotein, and Low-Density Lipoprotein Cholesterols

Pure (99%) cholesterol crystal powder, high-density lipoprotein (HDL), and low-density lipoprotein (LDL) from human plasma in liquid form were purchased from the Sigma-Aldrich Company.



**Fig. 1** A photograph of the human aorta tissue specimens used in the experiments. Main section "A" shows the VP tissue obtained from NDRI. Four samples cut from specimen "A" are marked as "FAT", "R1", "M1", and "M2" with the numbers indicating the tested and histopathology examined sites.

Supposed tissue class	RR spectra	RR site H&E Image	Fibrous Cap Thickness (microns)	RR site Image
Lipid normal (yellow class) (Fig. 3)				(d) 
Fatty Plaque [Fig. 4(a)]		(a) 	86.72	(e) 
Vulnerable Atherosclerosis plaque [Fig. 4(b)]		(b) 	48.68	(f) 
Calcification/Ossification [Fig. 4(c)]		(c) 	74.90	(g) 

**Fig. 2** Comparisons of normal adventitial arterial wall fat tissue versus three types lesions of atherosclerotic plaques with their RR spectra (column 2), histopathology images (H&E in column 3), cap thicknesses (column 4), and the confocal microscopy photographs (column 5). (a) The image is the histopathology analysis at the test site M2-07. Vulnerable plaque aorta sample analyzed by Columbia University Medical Center, the measurements are only approximate at the regions marked in Fig. 1, the image covered large areas of the artery. (b) Histopathology image of the test site R1-03. (c) Histopathology image of the test site R1-01. The RR spectrum from the seriously calcified atherosclerotic plaques and ossification lesion, the feature vibrational mode is a sharp peak at  $964\text{ cm}^{-1}$  with a weaker band at  $1076\text{ cm}^{-1}$ . (d) The image is from confocal microscopy photograph at the tested site human artery fat. (e) The image is from confocal microscopy photograph at the tested site M2-07. (f) The image is from confocal microscopy photograph at the tested site R1-03. (g) The image is from confocal microscopy photograph at the tested site R1-01.

### 3 Experimental Results and Discussions

The patterns of RR peaks in the spectra collected from different positions (Fig. 1) on the intimal aortic wall lesions correlate with the disease process as it progresses through the development of arterial fibrolipid plaques, VPs, and calcification and ossification. RR spectra collected from the four laboratory grade samples, including normal fats, chemicals (pure cholesterol powder, HDL, and LDL) (Fig. 3), and three states of atherosclerotic lesions in aortic tissues are shown in Fig. 4.

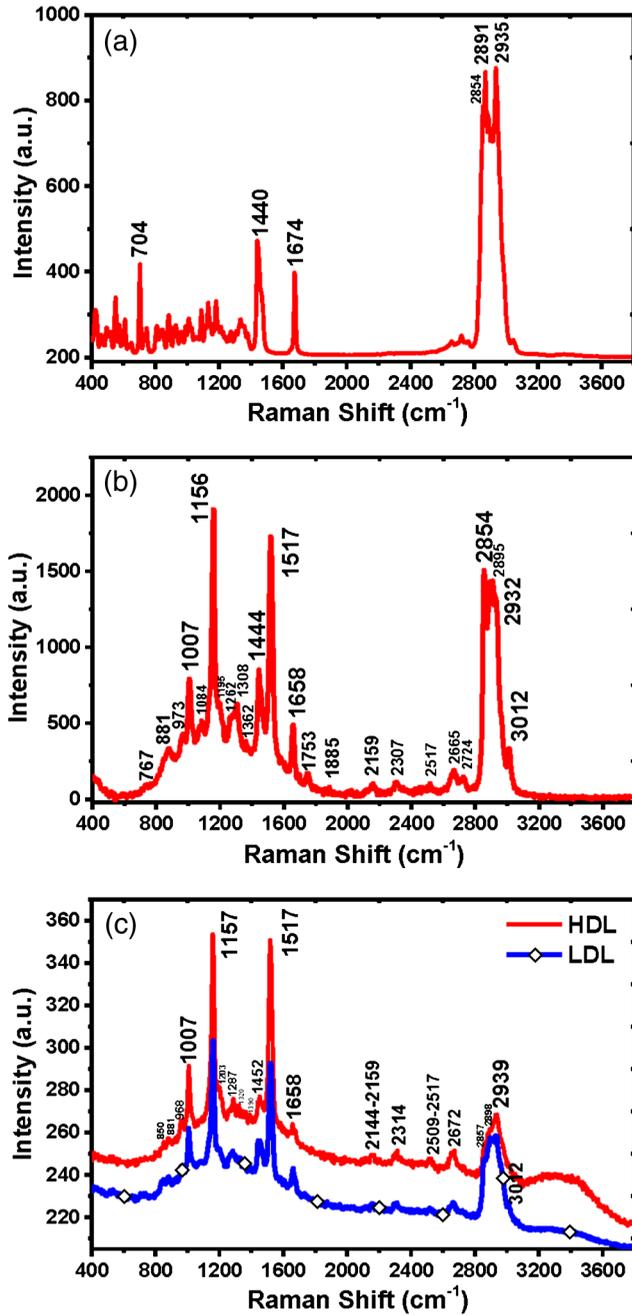
#### 3.1 Laboratory Grade Samples

Figure 3 shows RR spectra from three materials that will help assign peaks in the spectra from the aortic samples (Fig. 4) to specific compounds. Figure 3(a) shows the RR spectrum of pure cholesterol powder, Fig. 3(b) shows the RR spectrum of fat from the tunica adventitial aorta (Fig. 1, “A” marked as FAT). Figure 3(c) shows the RR spectra of liquid HDL and LDL obtained from human plasma. Figures 3(a)–3(c) highlight the characteristic RR peaks due to cholesterol (located at  $704$ ,  $1440$ , and  $1674\text{ cm}^{-1}$ ), to carotenoids (located at  $1007$ ,  $1157$ , and  $1517\text{ cm}^{-1}$ ), and to

the methylene group [ $-\text{CH}_2-$ ] vibrational mode and methyl group [ $-\text{CH}_3$ ] (located at  $2854$ ,  $2895$ , and  $2939\text{ cm}^{-1}$ ).<sup>28,29</sup>

#### 3.2 Fibrolipid Plaques

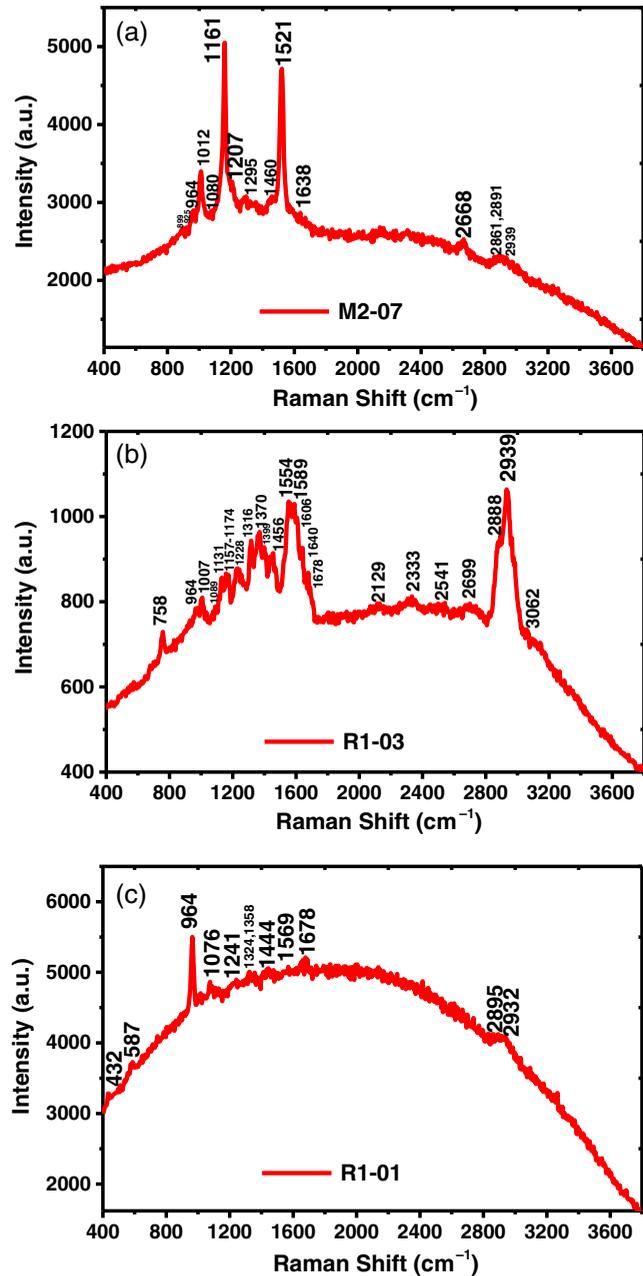
The RR spectrum, collected from the sample site numbered M2-07, is shown in Fig. 4(a). Similar RR spectral profiles of M1-02 and R-11 are not shown. A comparison of the spectrum shown in Fig. 4(a) to the RR spectra in Fig. 3 reveals that the Raman peaks common to both spectra are at  $1012$ ,  $1161$ , and  $1517\text{ cm}^{-1}$ . This suggests an assignment for fatty plaque that is close to that of the lipids in aortic tissue [Figs. 3(b) and 3(c)] and, therefore, is likely to be atherosclerotic aortic tissue.<sup>11</sup> Lesions in the sites M2-07, M1-02, and R-11 can be considered as representing an early state of the disease process, a noncalcified atherosclerotic fibrolipid plaque whose RR spectrum contains three distinguishable vibrational modes at  $1517$ ,  $1161$ , and  $1012\text{ cm}^{-1}$  arising from intense RR lines of carotenoid molecules. These frequencies have been assigned to vibrational modes of the carotene conjugated polyene backbone, and the  $1012\text{-cm}^{-1}$  vibrational mode assigned to aromatic amino acids molecules, tryptophan, and phenylalanine. The weak small peak



**Fig. 3** Typical RR spectra of cholesterol powder, human fat, and lipoproteins in the collected spectral region from 400 to 3800  $\text{cm}^{-1}$ , using WITec-300R confocal micro-Raman system with an excitation wavelength of 532 nm are shown in (a), (b), and (c), respectively. (a) Spectrum is from pure cholesterol powder showing feature peaks at 704, 1440, 1674, 2854, 2891, and 2935  $\text{cm}^{-1}$ , (b) spectrum is from human fat showed in Fig. 1 (“A”) obtained from normal part of human adventitial arterial wall tissue, characteristic peaks at 1007, 1156, 1444, 1517, 1658, 2854, 2895, and 2932  $\text{cm}^{-1}$ , (c) spectra are from human plasma of HDL and LDL liquid tissues, substantially similar to the fat Raman peaks, but intense lipids peaks at 1444, 1658, 2854, 2895, and 2932  $\text{cm}^{-1}$  of HDL are obviously weaker than LDL. Those peaks are greatly decreased compared to the fat tissue’s intensity peaks

occurring at 964  $\text{cm}^{-1}$  can be attributed to the initial calcification of early stage plaque lesions.

In these lesion sites, a second set of Raman spectral molecular fingerprints arises from the macromolecules of lipids and



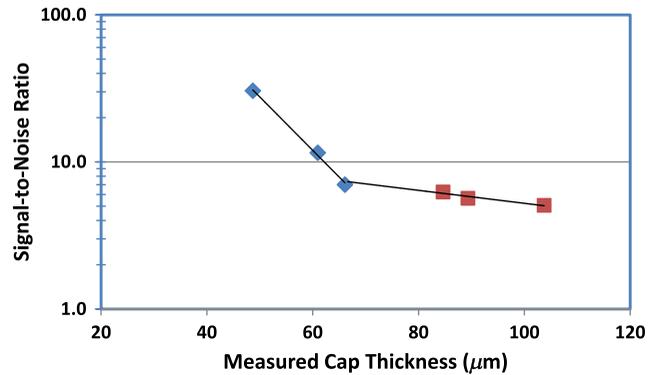
**Fig. 4** RR spectral profile variations were recorded from the intimal aortic wall lesions correlated with the different states. (a) A typical RR spectrum of sample M2-07 corresponds to fatty plaque lesion. Three resonance-enhanced peaks at 1012, 1161, and 1521  $\text{cm}^{-1}$ , and a vague weak peak at 964  $\text{cm}^{-1}$  were observed, which indicate that early or original calcification. The lipid rich Raman peak at 2854  $\text{cm}^{-1}$  is diminished, and the 2895 and 2932  $\text{cm}^{-1}$  peaks are greatly decreased. (b). Typical RR spectrum of a ruptured atherosclerotic plaque state obtained from lesion site R1-03. The distinct lipid Raman peaks at 2888, 1678, and 1456  $\text{cm}^{-1}$ ; enhanced protein RR fingerprints at amide II (1554  $\text{cm}^{-1}$ ), heme protein/tryptophan (1589  $\text{cm}^{-1}$ ), and lipoprotein (2939  $\text{cm}^{-1}$ ) reveal a ruptured hemorrhage state lesion. (c). The RR spectrum from the seriously calcified atherosclerotic plaques and ossification lesion. The featured vibrational mode is a sharp peak at 964  $\text{cm}^{-1}$  with a weaker band at 1076  $\text{cm}^{-1}$ .

lipoproteins.<sup>30</sup> These characteristic vibrational modes are at 2854, 2892, and 2934  $\text{cm}^{-1}$ , as shown in all three spectra in Fig. 3. Key differences in these peaks are seen in the spectra obtained from normal aortic fat tissue and fibrolipid

atherosclerotic plaque tissue.<sup>11,12,14–16</sup> For example, as shown in Figs. 3(a) and 3(b), in HDL/LDL powder and normal aortic fat, the presence of a sharp methylene ( $-\text{CH}_2$ ) peak is observed at  $2854\text{ cm}^{-1}$ . Additionally, the characteristic band of spectral peaks observed at  $2932$  (Fermi resonance of a  $\text{CH}_3$  mode) and  $2895\text{ cm}^{-1}$  was attributed to the vibrations of methylene (Fermi resonance of a  $-\text{CH}_2$  mode) groups. However, in non-calcified atherosclerotic fibrolipid plaque tissue [Fig. 4(a)], the Raman spectral peak at  $2854\text{ cm}^{-1}$  is greatly diminished, while the other two distinct Raman spectral peaks of lipid and lipoproteins at  $2895$  and  $2932\text{ cm}^{-1}$  decayed greatly. The changes in peak intensity and position in the Raman spectral profiles indicate an important finding. These two characteristics provide a signal to track the transformation of the composition and protein conformation in the cells, as well as the changes in the molecular structure of fibrolipid plaque lesion tissues.<sup>31</sup>

### 3.3 Vulnerable Atherosclerotic Plaques

Figure 4(b) shows a typical spectrum for another type of atherosclerotic plaque, obtained from the R1-03 site (and similar to the RR spectra of R1-04 and M1-01, which are not shown). The three salient characteristics of Raman spectra in this type are: (1) a large lipid pool that has distinct lipid Raman peaks at  $2888$ ,  $1678$ , and  $1456\text{ cm}^{-1}$ , with a peak at  $964\text{ cm}^{-1}$  that possibly arises from calcified fragments of extracellular debris in ruptured plaque. (2) The enhanced amide III mode at  $1228\text{ cm}^{-1}$  for these type lesions has no shift in its peak position. The sharp intense stable marker at  $758\text{ cm}^{-1}$  can be interpreted as an independent fingerprint. It represents the breathing mode, which gives the most information about the status of red blood cells, as well as direct measures of the heme group in hemoglobin. It is also a characteristic peak of tryptophan, but it is intense and it changes in intensity with the state of atherosclerotic plaques, suggesting that its origin is from heme groups. (3) The intense resonance enhancements of the Raman spectra were displayed in three groups of peaks: (a) one group at  $1131$  to  $1173\text{ cm}^{-1}$  and a sharp intense peak at  $1131\text{ cm}^{-1}$  [ $\nu(\text{C}-\text{C})\delta(\text{COH})$ ] that arose from the fatty acid (i.e., lipid assignment). The significant peak shifts in proteins were observed:  $1157\text{ cm}^{-1}$ , at  $\nu(\text{C}-\text{C})$ , in which the carotenoid was not in normal tissue;  $1170\text{ cm}^{-1}$ , where the  $\text{C}-\text{H}$  in-plane bending mode of tyrosine (collagen type I) was present; and  $1173$  and  $1174\text{ cm}^{-1}$ , which are the peaks due to tyrosine, phenylalanine, and the  $\text{C}-\text{H}$  bend (protein). These spectral profile changes and peak position shifts reflect molecular structure changes corresponding to the difference in fibrous cap thickness. For example, the R1-03 site has a VP cap thickness of  $48.68\text{ }\mu\text{m}$  and the R1-04 site has a similar thickness of  $60.96\text{ }\mu\text{m}$ , both of which are thinner than the  $65\text{-}\mu\text{m}$  thickness that defines a highly unstable thin cap fibroatheroma (TCFA) plaque. Data points for both of these samples are included in Fig. 5 and R1-03 is shown in Fig. 2. (b) The second group between  $1316$  to  $1374\text{ cm}^{-1}$  represents several remarkable modes:  $1316\text{ cm}^{-1}$  depicts the G (ring breathing modes of the DNA/RNA bases)  $-\text{C}-\text{H}$  deformation (protein) and amide III ( $\alpha$ -helix),  $1370\text{ cm}^{-1}$  shows the contribution of T, A, G (ring breathing modes of the DNA/RNA, bases-protein), and tryptophan. (c) The third group, between  $1554$  and  $1606\text{ cm}^{-1}$ , contains modes which are marked by the following peaks: the  $1554\text{-cm}^{-1}$  peak from the protein amide II, which was derived mainly from the in-plane  $\text{N}-\text{H}$  bending, the  $1589\text{-cm}^{-1}$  peak indicates the  $\text{C}-\text{C}$  stretching and phosphate ( $\text{O}-\text{P}-\text{O}$ ) symmetric stretching vibrational mode, which is a characteristic



**Fig. 5** Intensity changes of the RR peak at the  $2895\text{-cm}^{-1}$  vibrational mode plotted as signal-to-noise ratio versus VP cap thickness ( $\mu\text{m}$ ). Two exponential fits to the data are provided illustrating the different trends of Raman signals from smaller cap thickness plaques versus larger cap thickness caps. The two straight lines intercept at  $66\text{ }\mu\text{m}$ , very close to the accepted definition for TCFA ( $65\text{ }\mu\text{m}$ ).

of nucleic acids, as well as a known mode for tryptophan, and the  $1606\text{-cm}^{-1}$  peak that is due to the  $\text{C}-\text{O}$  stretching and  $\text{C}-\text{C}$  bending in phenylalanine and tyrosine.<sup>32–35</sup>

These three distinct groups consist mainly of enhanced Raman peaks for proteins, which suggests that the  $532\text{-nm}$  excitation wavelength matched (or closely matched) the molecular absorption wavelengths for compounds in the cells and tissues. For example, the metalloprotein, hemoglobin, has one absorption band at  $534\text{ nm}$ . Similarly, the mitochondrial electron transport protein, cytochrome C, has one absorption wavelength at  $552\text{ nm}$  (under hypoxia conditions) or the two-photon absorption process under RR conditions that may allow the R1, M1, and M2 sites of the ruptured plaque processes to be seen.<sup>32</sup> RR peaks associated with proteins on the molecular level in ruptured fibrolipid plaques tissues were shown and thought to be due to heme proteins, such as the cytochromes that reside in the mitochondria.

These RR spectra from arterial sites may reveal the processes of plaque rupture or thrombosis.

At these positions (R1-03, R1-04, and M1-01), lesions may be classified as advanced in the clinical stage. This is because we found (a) ruptured atherosclerotic plaques with surface erosions, hemorrhages, and thrombus at the test site, as can be seen in the image labeled Fig. 4(b) and column 5 in Fig. 2; (b) the fibrous cap thickness at the tested location on the sample (column 4 of Fig. 2) is a very thin  $48.68\text{ }\mu\text{m}$ , as measured by histopathology; and (c) the RR spectrum at tested site R1-03 in Fig. 5 showed enhanced RR peaks from collagen and fibro muscular tissue layers covering the extracellular lipid pool at  $1456$ ,  $1554$ ,  $1640$ , and  $1678\text{ cm}^{-1}$  indicating that the plaque has progressed to the clinically silent and advanced stage.<sup>14,26</sup>

### 3.4 Calcified and Ossified Plaques

Figure 4(c) shows a typical RR spectrum for the third set of lesions where calcification has evolved into ossification. In the highly calcified deposits on the atherosclerotic plaques and ossified lesion, the featured vibrational mode is a sharp peak at  $964\text{ cm}^{-1}$  with a weaker band at  $1076\text{ cm}^{-1}$  that arises from the symmetric stretching vibration of  $\nu_1\text{PO}_4^{3-}$ , calcium-phosphate stretch band (contains high quantities of cholesterol), and a phosphate symmetric stretching vibration of calcium hydroxyapatite (HA) and quinoid ring in-plane deformation.

In addition, the  $1078\text{-cm}^{-1}$  band arose from the symmetric stretching vibration of  $\nu_1\text{PO}_4^{3-}$  [phosphate of (HA)-single phase HA],  $\nu(\text{C}-\text{C})$  or  $\nu(\text{C}-\text{O})$ , and phospholipids. In this set of lesions, all the characteristic Raman peaks of lipids and proteins, which appeared in Figs. 4(a) and 4(c), were decayed and diminished. This indicates that, in this state, the main components may be crystalline cholesterol, cholesteryl esters, and phospholipids.<sup>13,15,16,28</sup>

### 3.5 Fibrous Cap Thickness

The RR spectra in different types of atherosclerotic plaque are clearly correlated to the changes of VP fibrous cap thickness as measured by standard histopathology methods and shown in Figs. 2 and 5. The signal-to-noise ratio of the peak intensity at  $2895\text{ cm}^{-1}$  for two classes of plaques varies exponentially with fibrous cap thickness (Fig. 5), but with different exponential decay rates. The samples with small fibrous cap thicknesses exhibit one rate of exponential decay, while those with thicker

caps exhibit a much smaller decay rate. This suggests two different cap morphologies for the two types of plaques since the morphology will determine the opacity of the cap to the RR scattered light from the lipid pool and, therefore, the decay rate. The two decay curves intersect near the cap thickness value of  $66\text{ }\mu\text{m}$ . This value is very close to the conventional TCFA definition of  $65\text{ }\mu\text{m}$ .<sup>36,37</sup> The result in Fig. 5 is attributed to the transition from ballistic photons propagating through thin caps to diffusive photons propagating through a thicker cap, which occurs at  $66\text{ }\mu\text{m}$ .<sup>38</sup>

Confirmation of the importance of this finding is left for future work but it suggests that RR measurements of cap thickness can be used to detect the presence of a highly unstable TCFA plaque. Figure 2 shows a comparison of normal arterial fat tissue versus three types lesions of atherosclerotic plaques corresponding to their RR spectra, histopathology images (Fig. 2, column 3), fibrous cap thickness, and the confocal microscopy photographs (Fig. 2, columns 4 and 5). Table 1 lists the vibrational assignments of the modes.

**Table 1** Human atherosclerosis aortic specimens obtained from NDRI selected RR spectral peak position and assignment of specimen tissues.

Atherosclerotic lesions with Raman peaks ( $\text{cm}^{-1}$ ) and supposed types				
Normal fat (Fig. 3)	Fatty plaque [Fig. 4(a)]	Ruptured atherosclerosis plaque [Fig. 4(b)]	Calcification/ossification [Fig. 4(c)]	Molecular class/vibration/group
767 vw	758 w	758 m	758 m	Heme class, trp., C-H out of plane def.
881 w	872 vw	833 w	—	C-C, str., cholesterol unesterified, foam cell necrotic core; collagen I, fiber and fibrous cap
973 vw	955 w	920 m, 955 w	964 vs	$\text{PO}_4^{3-}$ , sym/asym, calcium HA, phosphate group, C-C backbone (collagen)
1007 s	1012 s	1011 m	—	Ring breathing, phe
1084/ 1072 m	—	(1078)	1076 w	C-C bending, calcium, cholesteryl esters/carbonate group, sugar C-OH
1156 vs	1161 vs	1169 m	—	C-C, str. carotenoids/carotene, collagen type I
1195 sh	—	—	—	C-C, cholesterol
1262 m	1207 w	1228 m	1241 vw	Amide III enhanced, collagen I
1308 m	1316 w	1316 m	1324 vw	$\text{CH}_2/\text{CH}_3$ , bending, triglycerides, collagen
1362 vw	—	1358 m-1370 w	1358 vw	$-\text{CH}_3$ methyl def., $-(\text{C}=\text{O})$ ,
1444 s	1444 m	1444 w	1444 vw	$\text{CH}_2/\text{CH}_3$ , bending, triglycerides, cholesterol
1517 vs	1517 vs	—	—	C=C, str. $\beta$ -carotene/carotenoids
—	—	1554 s	—	Amide II, enhanced
—	1586 m	1586 s	—	Heme class, trp. Phe
1658 s	—	—	1678 vw	Amide I, protein
1753 m	—	1701 vw	—	C=O str, cholesterol ester
2854 vs	—	—	—	Lipids
2895 s	2892 vw	2892 s	2895 vw	Lipids, $-\text{CH}_2-$
2932 s	2939 vw	2939 vs	2932 vw	Protein, $-\text{CH}_3-$

Note: s, strong; vs, very strong; w, weak; vw, very weak; and m, medium.

## 4 Conclusion

Common Raman system detection techniques usually cannot generate enhanced resonance molecular Raman lines and high resolution. In this study, e.g., the protein line in the amide II active line at  $1554\text{ cm}^{-1}$ , carotene lines at  $1161$  and  $1521\text{ cm}^{-1}$ , amino acid tryptophan lines at  $1589$  and  $758\text{ cm}^{-1}$ , and the intense resonance enhancement in the three groups of peaks [shown in Fig. 4(b)] suggest that the 532-nm excitation wavelength matched (or closely matched) the absorption wavelengths for molecular compounds in the cells and tissues. The VRR system also has the advantage of requiring less power and accumulation time to collect signals, compared with NIR or FT-Raman systems.<sup>12-15</sup>

In conclusion, we have successfully demonstrated that the changes in components and conformation of three states of VPs identified using RR molecular fingerprints and the  $2895\text{-cm}^{-1}$  vibrational mode can be detected and identified for lipids that are under the thin intimal wall of the plaque's cap region. The RR spectral findings revealed that these molecular fingerprints can identify the vascular calcification process of atherosclerosis and may provide higher accuracy and sensitivity.

The outcomes of these research findings are:

- I. The criteria for detecting the states of VP in fibrous lipid plaque lesions, advanced lesions with calcification to the evolution of ossification, and advanced lesions with ruptured atherosclerotic fibrolipid plaques have been established using the RR spectroscopy technique. The criteria are based on the RR spectra of key natural molecular fingerprints to distinguish changes in lesions. The typical results of Raman spectral molecular fingerprint assessments used to classify the status of atherosclerotic plaque were demonstrated in this study and summarized in Fig. 2 and Table 1.<sup>12,20,21,24,39</sup> The significant RR molecular markers in different status lesions revealed good agreement between prior work and this study.<sup>11,16</sup>
- II. The preliminary analysis of data from the Raman spectral molecular fingerprint at  $2895\text{-cm}^{-1}$  mode of cholesterol with various fibrolipid plaque status versus fibrous cap thickness was shown in Fig. 5. The results indicate that the threshold of a critical change in the fibrous cap layer thickness is at  $\sim 66\text{ }\mu\text{m}$ . This finding agrees with the literature on unstable TCFA plaques.<sup>36,37</sup>

## Disclosures

The authors report grants from National Institutes of Health (NIH), during the conduct of the study. In addition, the authors have a patent "Raman and Resonant Raman Detection of Vulnerable Plaque Optical Analyzer and Imager" pending.

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In this study, the human aorta specimens were obtained from the NDRI, Philadelphia, Pennsylvania. The experimental procedures were approved by the City College of the City University of New York, IRB office.

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