Left/right asymmetry in reflection and transmission by a planar anisotropic dielectric slab with topologically insulating surface states

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Abstract. The reflection and transmission of plane waves by a homogeneous anisotropic dielectric slab—as represented by a columnar thin film—with topologically insulating surface states was theoretically investigated. Copolarized and cross-polarized reflectances and transmittances were calculated by solving the associated boundary-value problem. Numerical calculations revealed that all four reflectances and all four transmittances were asymmetric with respect to reversal of projection of the propagation direction of the incident plane wave on the illuminated surface of the slab. This left/right reflection and transmission asymmetry arises due to the combined effects of the slab’s anisotropy and surface states.© The Authors. Published by SPIE under a Creative Commons Attribution 3.0 Unported License. Distribution or reproduction of this work in whole or in part requires full attribution of the original publication, including its DOI. [DOI: 10.1117/1.JNP.10.020501]

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1 Introduction

The modest aim of this communication is to theoretically show that a homogeneous anisotropic dielectric slab with topologically insulating surface states (TISS) reflects and transmits light in such a way as to exhibit asymmetry with respect to reversal of projection of the propagation direction of the incident plane wave on the illuminated surface of the slab. We refer to this phenomenon as left/right asymmetry.

A homogeneous anisotropic material is characterized by a frequency-dependent relative permittivity dyadic $\varepsilon$. Suppose that this material occupies the region $V_{in}$ bounded by the surface $S$, which separates $V_{in}$ from the vacuous region $V_{out}$. If the anisotropic material possesses TISS, then the boundary conditions hold, with the unit normal vector $\hat{n}(r)$ at $r \in S$ pointing into $V_{out}$ and the admittance $\tilde{\gamma}$ describing the TISS. Although optical scattering by isotropic dielectric materials, i.e., $\varepsilon = \varepsilon(\hat{u}, \hat{u})$, with TISS has been investigated theoretically and experimentally, this communication is possibly the first report of optical scattering by an anisotropic dielectric material with TISS. The existence of such materials is deemed possible because the isotropic materials with TISS are chalcogenides, columnar thin films (CTFs) of other chalcogenides have been fabricated, and CTFs function as anisotropic dielectric materials at sufficiently low frequencies. Furthermore, periodically multilayered composite materials comprising laminae of an isotropic topological insulator and some other material should function as effectively anisotropic continua at sufficiently low frequencies.

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We have found that the TISS induce the exhibition of left/right asymmetry in reflection and transmission by a homogeneous anisotropic dielectric slab. This asymmetry could be exploited for one-way optical devices. The boundary-value problem of reflection and transmission of an obliquely incident plane wave by a homogeneous anisotropic dielectric slab with TISS is described and solved in Sec. 2. Illustrative numerical results are presented and discussed in Sec. 3.

The free-space wavenumber, the free-space wavelength, and the intrinsic impedance of free space are denoted by \( k_0 = \omega \sqrt{\mu_0 \epsilon_0}, \lambda_0 = 2\pi / k_0, \) and \( \eta_0 = \sqrt{\mu_0 / \epsilon_0}, \) respectively, with \( \mu_0 \) and \( \epsilon_0 \) being the permeability and permittivity of free space. We denote the fine structure constant by \( \hbar = (\hbar^2 \mu_0 / 2)q_e, \) where \( q_e \) is the quantum of charge and \( \hbar \) is the Planck constant. Vectors are in boldface, dyadics are underlined twice, column vectors are in boldface and enclosed within square brackets, while matrixes are underlined twice and similarly bracketed. Cartesian unit vectors are identified as \( \hat{u}_x, \hat{u}_y,\) and \( \hat{u}_z. \)

2 Theory

Suppose that the regions \( \mathcal{V}_\text{in} = \{(x, y, z) : z \in (0, L)\} \) and \( \mathcal{V}_\text{out} = \{(x, y, z) : z \notin [0, L]\} \) are separated by the surface \( S = \{(x, y, z) : z \in [0, L]\}. \)

A plane wave, propagating in the half-space \( z < 0 \) at an angle \( \theta \in [0, \pi/2) \) to the \( z \)-axis and at an angle \( \psi \in [0, 2\pi) \) to the \( x \)-axis in the \( xy \) plane, is incident on the slab, as shown in Fig. 1. The electromagnetic field phasors associated with the incident plane wave are represented as

\[
\begin{align*}
E_{\text{inc}}(r) &= (a_p s + a_p p_\perp) \exp[ik(x \cos \psi + y \sin \psi) + ik_0 z \cos \theta], \\
H_{\text{inc}}(r) &= \frac{1}{\eta_0} (a_p p_\perp - a_p s) \exp[ik(x \cos \psi + y \sin \psi) + ik_0 z \cos \theta],
\end{align*}
\]

\( z < 0. \) (2)

The amplitudes of the \( s \)- and the \( p \)-polarized components of the incident plane wave, denoted by \( a_s \) and \( a_p, \) respectively, are assumed given, whereas

\[
\begin{align*}
\kappa &= k_0 \sin \theta, & s &= -\hat{u}_x \sin \psi + \hat{u}_y \cos \psi, \\
p_\perp &= \mp(\hat{u}_x \cos \psi + \hat{u}_y \sin \psi) \cos \theta + \hat{u}_z \sin \theta.
\end{align*}
\]

(3)

The reflected electromagnetic field phasors are expressed as

\[
\begin{align*}
E_{\text{ref}}(r) &= (r_p s + r_p p_\perp) \exp[ik(x \cos \psi + y \sin \psi) - ik_0 z \cos \theta], \\
H_{\text{ref}}(r) &= \frac{1}{\eta_0} (r_p p_\perp - r_p s) \exp[ik(x \cos \psi + y \sin \psi) - ik_0 z \cos \theta],
\end{align*}
\]

\( z < 0. \) (4)

and the transmitted electromagnetic field phasors as

\[ \text{Fig. 1} \] A plane wave is incident on the slab \( \mathcal{V}_\text{in} = \{(x, y, z) : z \in (0, L)\}; \) the wave vector of the incident plane wave inclined at an angle \( \theta \) with respect to the \( z \)-axis and at an angle \( \psi \) with respect to the \( x \)-axis in the \( xy \) plane. Also shown is the angle \( \chi. \)
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\[ \mathbf{E}_d(r) = (t, s + t_p p_p) \exp[i(k(x \cos \psi + y \sin \psi) + ik_0(z - L) \cos \theta)] \]

\[ \mathbf{H}_d(r) = \frac{1}{C}(t_p p + t_p p) \exp[i(k(x \cos \psi + y \sin \psi) + ik_0(z - L) \cos \theta)] \]

\[ z > L. \quad (5) \]

The reflection amplitudes \( r_t \) and \( r_p \), as well as the transmission amplitudes \( t_t \) and \( t_p \), have to be determined by the solution of a boundary-value problem.

The frequency-domain electromagnetic constitutive relations of the homogeneous anisotropic dielectric material in \( \mathcal{V}_{\text{in}} \) can be written as

\[ \mathbf{D}(r) = e_0 \mathbf{E}(r), \quad \mathbf{B}(r) = \mu_0 \mathbf{H}(r), \quad z \in (0, L), \quad (6) \]

where the dyadics

\[ e = \sum_{\nu} (e_{a\nu} \mathbf{u}_a \mathbf{u}_\nu + e_{b\nu} \mathbf{u}_b \mathbf{u}_\nu + e_{c\nu} \mathbf{u}_c \mathbf{u}_\nu) : \mathbf{S}^T, \]

\[ \sum_{\nu} \mathbf{S}_\nu = (\mathbf{u}_a \mathbf{u}_a + \mathbf{u}_b \mathbf{u}_b) \cos \chi + (\mathbf{u}_a \mathbf{u}_b - \mathbf{u}_b \mathbf{u}_a) \sin \chi + \mathbf{u}_c \mathbf{u}_c \]

involve the angle \( \chi \in [0, \pi/2] \). The superscript \( ^T \) denotes the transpose. The principal relative permittivity scalars \( e_{a\nu}, e_{b\nu}, \) and \( e_{c\nu} \), as well as the angle \( \chi \), can be chosen for application to either natural crystals or the manufactured CTFs.

In \( \mathcal{V}_{\text{in}} \), the electric and magnetic field phasors can be represented as

\[ \mathbf{E}(r) = e(z) \exp[i(k(x \cos \psi + y \sin \psi))] \]

\[ \mathbf{H}(r) = h(z) \exp[i(k(x \cos \psi + y \sin \psi))], \quad z \in \mathcal{V}_{\text{in}}, \quad (9) \]

where the vector functions \( e(z) \) and \( h(z) \) are unknown. Substitution of Eqs. (3) and (4) in the Maxwell curl postulates followed by certain algebraic manipulations leads to the 4 \( \times \) 4-matrix ordinary differential equations

\[ \frac{d}{dz} [\mathbf{f}(z)] = i[p] \cdot [\mathbf{f}(z)], \quad z \in \mathcal{V}_{\text{in}}, \quad (10) \]

where the column vector

\[ [\mathbf{f}(z)] = [e_x(z), e_y(z), h_x(z), h_y(z)]^T, \quad (11) \]

the 4 \( \times \) 4 matrix

\[ [p] = \alpha [0 0 0 \mu_0] + \frac{e_d(e_a - e_b)}{2e_a e_b} \frac{\sin(2\chi)}{\sin \psi 0 0 0} \]

\[ + \frac{k^2}{\omega e_0 e_a e_b} \left[ \begin{array}{cccc} 0 & \cos \psi & \sin \psi & -\cos^2 \psi \\ 0 & \sin^2 \psi & -\cos \psi & \sin \psi \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{array} \right] \]

\[ + \frac{k^2}{\omega \mu_0} \left[ \begin{array}{cccc} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ -\cos \psi & \sin \psi & \cos^2 \psi & 0 \\ -\sin^2 \psi & \cos \psi & \sin \psi & 0 \end{array} \right], \quad (12) \]

and the scalar

\[ e_d = \frac{e_a e_b}{e_a \cos^2 \chi + e_b \sin^2 \chi}. \quad (13) \]

Equation (10) has the following straightforward solution:

\[ [\mathbf{f}(L^-)] = \exp \{ i[p]L \} \cdot [\mathbf{f}(0^+)], \quad (14) \]
where the notation $[f(a^\pm)]$ stands for $\lim_{\delta \to 0} [f(a \pm \delta)]$ with $\delta \neq 0$. Application of the boundary conditions [Eq. (4)] to the planes $z = 0$ and $z = L$ leads to

$$[f(0^-)] = [V] \cdot [f(0^+)], \quad (15)$$

$$[f(L^+)] = [V] \cdot [f(L^-)], \quad (16)$$

respectively, where the matrix

$$[V] = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ -\tilde{\gamma} & 0 & 1 & 0 \\ 0 & -\tilde{\gamma} & 0 & 1 \end{bmatrix}. \quad (17)$$

Combining Eqs. (14)-(16), we get

$$[f(L^+)] = [V] \cdot \exp\{i[\Omega]L\} \cdot [V]^{-1} \cdot [f(0^-)]. \quad (18)$$

But the elements of $[f(0^-)]$ are known by virtue of Eqs. (4) and (16), and those of $[f(L^+)]$ by virtue of Eq. (16). Accordingly, Eq. (18) may be written as

$$\begin{bmatrix} t_s \\ t_p \\ 0 \\ 0 \end{bmatrix} = [K]^{-1} \cdot [V] \cdot \exp\{i[\Omega]L\} \cdot [V]^{-1} \cdot [K] \cdot \begin{bmatrix} a_s \\ a_p \\ r_s \\ r_p \end{bmatrix}, \quad (19)$$

where

$$[K] = \begin{bmatrix} -\sin \psi & -\cos \psi \cos \theta & -\sin \psi & \cos \psi \cos \theta \\ \cos \psi & -\sin \psi \cos \theta & \cos \psi & \sin \psi \cos \theta \\ -\left(\frac{1}{\eta}\right) \cos \psi \cos \theta & \left(\frac{1}{\eta}\right) \sin \psi & \left(\frac{1}{\eta}\right) \cos \psi \cos \theta & \left(\frac{1}{\eta}\right) \sin \psi \\ -\left(\frac{1}{\eta}\right) \sin \psi \cos \theta & -\left(\frac{1}{\eta}\right) \cos \psi & \left(\frac{1}{\eta}\right) \sin \psi \cos \theta & -\left(\frac{1}{\eta}\right) \cos \psi \end{bmatrix}. \quad (20)$$

The solution of Eq. (19) yields the reflection and transmission coefficients that appear as the elements of the $2 \times 2$ matrices in the following relations:

$$\begin{bmatrix} r_s \\ r_p \end{bmatrix} = \begin{bmatrix} r_{ss} & r_{sp} \\ r_{ps} & r_{pp} \end{bmatrix} \begin{bmatrix} a_s \\ a_p \end{bmatrix}, \quad \begin{bmatrix} t_s \\ t_p \end{bmatrix} = \begin{bmatrix} t_{ss} & t_{sp} \\ t_{ps} & t_{pp} \end{bmatrix} \begin{bmatrix} a_s \\ a_p \end{bmatrix}. \quad (21)$$

Copolarized coefficients have both subscripts identical, but cross-polarized coefficients do not. The square of the magnitude of a reflection or transmission coefficient is the corresponding reflectance or transmittance; thus, $R_{sp} = |r_{sp}|^2$ is the reflectance corresponding to the reflection coefficient $r_{sp}$, and so on. The principle of conservation of energy mandates the constraints $R_{ss} + R_{ps} + T_{ss} + T_{ps} \leq 1$ and $R_{pp} + R_{sp} + T_{pp} + T_{sp} \leq 1$. Let us note here that a real-valued $\tilde{\gamma}$ does not cause dissipation.

### 3 Numerical Results and Discussion

Let the left side of the $xy$ plane be specified by $\psi \in [0, \pi]$ and the right side by $\psi \in [\pi, 2\pi]$. In order to delineate the characteristics of and the factors responsible for left/right asymmetry of reflection and transmission, we need to consider four distinct cases as follows.

**Case I:** Suppose that $\varepsilon_a = \varepsilon_b = \varepsilon_c$ and $\tilde{\gamma} = 0$. Then the material in $\mathcal{V}_{\text{int}}$ is a homogeneous isotropic dielectric material and the TISS are absent. The boundary-value problem then turns into a textbook reflection/transmission problem.$^1$ None of the four reflectances ($R_{ss}$, $R_{ps}$, $R_{pp}$, and $R_{sp}$) and the four transmittances ($T_{ss}$, $T_{ps}$, $T_{pp}$, and $T_{sp}$) then depend on $\psi$. Furthermore, the cross-polarized remittances are null valued. In other words, the following relationships hold:
In particular, all reflectances and transmittances are unchanged upon replacing \( \psi \) with \( \psi + \pi \); i.e., all are left/right symmetric.

Case II: Suppose next that \( \varepsilon_a = \varepsilon_b = \varepsilon_c \) but \( \tilde{\gamma} \neq 0 \). Then the material in \( \mathcal{V}_m \) is a homogeneous isotropic dielectric material with TISS. None of the eight remittances \( (R_{ss}, \text{ and so on, and } T_{ss}, \text{ and so on}) \) depend on \( \psi \), and the cross-polarized remittances are not identically zero. Analysis of numerical results reveals that the following relationships hold:

\[
\begin{align*}
R_{ss}(\theta, \psi) &= R_{ss}(\theta, 0), & R_{pp}(\theta, \psi) &= R_{pp}(\theta, 0), \\
T_{ss}(\theta, \psi) &= T_{ss}(\theta, 0), & T_{pp}(\theta, \psi) &= T_{pp}(\theta, 0), \\
R_{ps}(\theta, \psi) &= R_{sp}(\theta, \psi) \not\equiv 0, & T_{ps}(\theta, \psi) &= T_{sp}(\theta, \psi) \not\equiv 0.
\end{align*}
\]  
(23)

A comparison of Eqs. (22) and (23) indicates that the TISS are responsible for de-polarization on both reflection and transmission. As in case I, all eight remittances are left/right symmetric.

Case III: Suppose that \( \varepsilon_a, \varepsilon_b, \text{ and } \varepsilon_c \) are all dissimilar, but \( \tilde{\gamma} = 0 \). Then the material in \( \mathcal{V}_m \) is a homogeneous anisotropic dielectric material and the TISS are absent. Calculations then show the following symmetries:

\[
\begin{align*}
R_{ss}(\theta, \psi) &= R_{ss}(\theta, \psi + \pi), & R_{pp}(\theta, \psi) &= R_{pp}(\theta, \psi + \pi), \\
R_{ps}(\theta, \psi) &= R_{sp}(\theta, \psi + \pi) \not\equiv 0, & T_{ps}(\theta, \psi) &= T_{sp}(\theta, \psi + \pi) \not\equiv 0.
\end{align*}
\]  
(24)

While both \( R_{ss} \) and \( R_{pp} \) are left/right symmetric, both \( T_{ss} \) and \( T_{pp} \) are not. Furthermore, as \( R_{ps}(\theta, \psi) \neq R_{sp}(\theta, \psi) \) and \( T_{ps}(\theta, \psi) \neq T_{sp}(\theta, \psi + \pi) \), it follows that all cross-polarized remittances are left/right asymmetric. In summary, the following inequalities are entirely due to anisotropy:

\[
\begin{align*}
R_{ps}(\theta, \psi) &\neq R_{ps}(\theta, \psi + \pi), & R_{sp}(\theta, \psi) &\neq R_{sp}(\theta, \psi + \pi), \\
T_{ps}(\theta, \psi) &\neq T_{ps}(\theta, \psi + \pi), & T_{sp}(\theta, \psi) &\neq T_{sp}(\theta, \psi + \pi).
\end{align*}
\]  
(25)

Case IV: Finally, \( \varepsilon_a, \varepsilon_b, \text{ and } \varepsilon_c \) are all dissimilar and \( \tilde{\gamma} \neq 0 \), so that the material in \( \mathcal{V}_m \) is a homogeneous anisotropic dielectric material with TISS. All eight remittances then depend on \( \psi \), the cross-polarized remittances are not identically zero, and only one relationship can be found.

\[
T_{ps}(\theta, \psi) = T_{sp}(\theta, \psi) \not\equiv 0.
\]  
(26)

All eight remittances exhibit left/right asymmetry, i.e.,

\[
\begin{align*}
R_{ss}(\theta, \psi) &\neq R_{ss}(\theta, \psi + \pi), & R_{pp}(\theta, \psi) &\neq R_{pp}(\theta, \psi + \pi), \\
R_{ps}(\theta, \psi) &\neq R_{sp}(\theta, \psi + \pi), & R_{sp}(\theta, \psi) &\neq R_{sp}(\theta, \psi + \pi), \\
T_{ps}(\theta, \psi) &\neq T_{ps}(\theta, \psi + \pi), & T_{sp}(\theta, \psi) &\neq T_{sp}(\theta, \psi + \pi).
\end{align*}
\]  
(27)

Furthermore, by comparison with cases I to III, we deduce that these inequalities arise due to the combined effects of the slab’s anisotropy and the presence of TISS. Without anisotropy, cases I and II show that the TISS are responsible for the cross-polarized reflectances and transmittances, but do not give rise to left/right asymmetry. Without TISS, case III shows that only the two copolarized transmittances (out of the eight remittances) are left/right asymmetric when the slab is made of an anisotropic material.

The complete left/right asymmetry that arises for case IV is illustrated in Figs. 3 and 4, wherein all reflectances and transmittances, respectively, are plotted as functions of the incidence angles \( \theta \in [0, \pi/2] \) and \( \psi \in [0, 2\pi] \). For these representative calculations, we chose \( \varepsilon_a = 2.14 \).
The chosen values of $\varepsilon_a, b, c$ emerged from a homogenization model for dielectric CTFs\cite{Lakhtakia14, Mackay15}, whereas $\tilde{\gamma} = 100\tilde{\alpha}/\eta_0$ was chosen to clearly highlight left/right asymmetry, in the absence of any experimental data for anisotropic topological insulators.

The inequalities in Eq. (27) are readily observed in the two figures. The left/right asymmetry is most easily discernible in the plots of $R_{ss}$ (Fig. 2) and $T_{pp}$ (Fig. 3), but can be identified in the plots of the remaining six remittances too for mid-range values of $\theta$.

In further numerical calculations (not presented here), the left/right asymmetry was found to be even more conspicuous for various remittances, when the magnitude of $\tilde{\gamma}$ was increased.

Practically oriented research on topological insulators is embryonic though steady progress is being made in the identification of several relevant materials.\cite{Lakhtakia20, Mackay20} As stated in Sec. 1, attention is chiefly being given to isotropic topological insulators, although the fabrication of anisotropic topological insulators appears possible. The exploitation of left/right asymmetry theoretically shown here to be possible with anisotropic topological insulators is promising for one-way optical devices, which could reduce backscattering noise\cite{Lakhtakia20} in optical communication networks, microscopy, and tomography, for example. But high magnitudes of $\eta_0\tilde{\gamma}/\tilde{\alpha}$ would be needed for practical implementation.
Fig. 3 Same as Fig. 2, except that the transmittances $T_{ss}$, $T_{ps}$, $T_{pp}$, and $T_{sp}$ are displayed as functions of $\theta$ and $\psi$.

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References


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